

Information on the USA Patriot Act – Customer Identification Program



Important information about opening a Wells Fargo Securities account

To help the government fight the funding of terrorism and money laundering activities, U.S. Federal law requires financial institutions to obtain, verify, and record information that identifies all parties defined as a "customer" as well as, in certain cases, individuals or entities who have control over or are associated with an account.

What this means for you

At account opening, Wells Fargo Securities will collect, verify and record certain identifying information.

- If you are opening an account for an institution, we will ask for the entity's name, address, taxpayer identification number, affiliations and ownership. We may ask to see certified articles of incorporation or other identifying documents on the entity. Additionally, we may request identifying information on the individuals or entities that have control over or are associated with an account.
- If you are opening an account for yourself or another individual, we will ask for the name, address, date of birth, social security number, and other information that will allow us to identify all customers on the account. We may also ask to see a driver's license, passport or other identifying documents.

If you are interested in opening a Wells Fargo Securities account, contact an investment representative to see how we can put our expertise to work for you.

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Investments: NOT FDIC Insured • May Lose Value • No Bank Guarantee